

PATENT

**Official**

DOCKET NO. 1232-4563

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s)** : Yasuo Okutani and Masayuki Yamada  
**Serial No.** : 09/386,052 **Group Art Unit** : 2654  
**Filed** : August 30, 1999 **Examiner** : Angela A. Armstrong  
**For** : SPEECH SYNTHESIZING APPARATUS AND METHOD, AND  
STORAGE MEDIUM THEREOF

**FACSIMILE TRANSMISSION OF PREVIOUSLY FILED PAPERS**

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

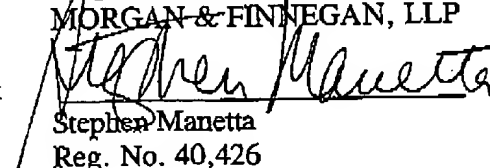
Attention: **Examiner Angela A. Armstrong**  
Group Art Unit: **2654**  
Tel. No.: (703) 308-6258  
FAX No.: (703) 746-5725

The following papers were filed by regular mail on the date indicated below and, as discussed with Examiner Armstrong on May 21, 2002, are hereby being re-submitted by facsimile on the date shown below.

1. Certificate of Mailing on May 14, 2002;
2. Amendment Fee Transmittal; and
3. Preliminary Amendment;

**Transmission Total:** 8 pages (including this retransmission cover sheet).

Date: May 22, 2002

Respectfully submitted,  
MORGAN & FINNEGAN, LLP  
By:   
Stephen Manetta  
Reg. No. 40,426

Mailing Address:  
MORGAN & FINNEGAN, LLP  
345 Park Avenue  
New York, NY 10154  
(212) 758-4800/FAX: (212) 751-6849

Docket No. 1232-4563

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Yasuo Okutani and Masayuki Yamada  
Group Art Unit: 2641  
Serial No.: 09/386,052  
Examiner: Angela A. Armstrong  
Filed: August 30, 1999  
For: SPEECH SYNTHESIZING APPARATUS AND METHOD, AND STORAGE  
MEDIUM THEREOF

**CERTIFICATE OF MAILING (37 C.F.R. §1.8(a))**

Commissioner for Patents  
Washington, DC 20231

Sir:

I hereby certify that the attached:

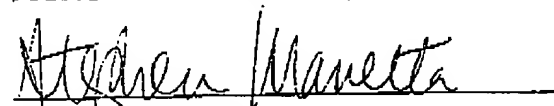
1. Amendment Fee Transmittal and fee;
2. Preliminary Amendment;
3. check in the amount of \$306.00, and
4. Return Receipt Postcard.

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, Washington, DC 20231.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: May 14, 2002

By:

  
Stephen J. Manetta  
Registration No. 40,426

**Correspondence Address:**

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154-0053  
(212) 758-4800 Telephone  
(212) 751-6849 Facsimile

PATENT

Docket No. 1232-4563IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yasuo Okutani and Masayuki Yamada  
Serial No. : 09/386,052 Group Art Unit: 2641  
Filed : August 30, 1999 Examiner: Angela A. Armstrong  
For : SPEECH SYNTHESIZING APPARATUS AND METHOD, AND  
STORAGE MEDIUM THEREFOR

AMENDMENT FEE TRANSMITTAL

Commissioner for Patents  
Washington, DC 20231

**Official**

Sir:

Transmitted herewith is an Amendment for the above-identified application.

- ☐ No additional fee is required.
- ☒ The additional fee has been calculated as shown below:

CLAIMS AS AMENDED

	Claims Remaining After Amendment	Highest No. Covered by Previous Payments	Extra	Rate	Additional Fee
Total Claims*	25 -	22	3	\$18.00/ \$9.00	\$ 54
Independent Claims	11 -	8	3	\$84.00/ \$42.00	\$ 252
Multiple Dependent Claims	(If claims added by amendment include Multiple Dependent Claim(s) and there was no Multiple Dependent Claim(s) in application before amendment add \$280.00 to additional fee (\$140.00 for small entity).				\$
TOTAL					\$ 306

\*Includes all independent and single dependent claims and all claims referred to in multiple dependent claims. See 37 C.F.R. §1.75(c).

- ☐ Small entity status is or has been claimed.  
Reduced Fees Under 37 C.F.R. §1.9(f) paid herewith \$\_\_\_\_\_
- ☐ \_\_\_\_\_ Pages Sequence Listing

**PATENT**

Serial No.: 09/386,052

**Docket No. 1232-4563**

- ☐ \_\_\_\_\_ Computer disk(s) containing substitute Sequence Listing
- ☐ Statement under 37 C.F.R. §1.825(b) that the computer and paper copies of the substitute Sequence Listing are the same.
- ☒ A check in the amount of \$306 to cover the filing fee is attached.
- ☐ Charge fee to Deposit Account No. 13-4500, Order No. \_\_\_\_\_. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for filing this amendment, including all fees pursuant to 37 CFR §1.17 for its timely consideration, or credit any overpayment to Deposit Account No. 13-4500, Order No. 1232-4563. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: May 14, 2002

By: Stephen J. Manetta  
Stephen J. Manetta  
Registration No. 40,426

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154-0053  
(212) 758-4800 Telephone  
(212) 751-6849 Facsimile